

PATENT



A-69094/SFC/JWC

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as ~~First Class~~ ^{Express} Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on this date December 18 2000.

Signed:


Kari Bateman

RECEIVED

DEC 28 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Technology Center 2100

In re application of:

RAHUL SHARMA ET AL.

Serial No.: 09/578,346

Filing Date: MAY 24, 2000

For: **SECURITY ARCHITECTURE FOR
INTEGRATION OF ENTERPRISE
INFORMATION SYSTEM WITH J2EE
PLATFORM**

Art Unit No.: 2785

Examiner: To be assigned

**PRELIMINARY AMENDMENT
TRANSMITTAL**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

- ☐ Small entity status of this Application under 37 CFR 1.9 and 1.27 has been established by a Verified Declaration previously submitted.
- ☐ A Verified Declaration of Small Entity Status Under 37 CFR 1.9 and 1.27 is enclosed.

Also enclosed:

- ☐ Information Disclosure Statement
- ☐ Declaration
- ☐ Associate Power of Attorney
- ☐ Other:

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[X] The fees have been calculated as shown below:

<u>Claims</u>	<u>Remain after Amend.</u>	<u>Highest No. Prev. Paid</u>	<u>Pres. Extra</u>	<u>Small Entity Rate</u>	<u>Fee</u>	<u>Large Entity Rate</u>	<u>Fee</u>
Total:	14 -	20 =	0	x \$9 =	0	x \$18 =	0
Indep:	4 -	3 =	1	x \$40 =	0	x \$80 =	\$80

If Multiple Dependent Claims
are used for the first time in
this application, add

+\$135 = + \$270 =

Total Additional Claims Fee:

\$80

Petition for Extension of Time

Applicant petitions for an extension of time in which to file
this response in the above-identified application. Enclosed is a
check including the amount indicated below to extend the period for
response from to .

	<u>Small Entity</u>	<u>Large Entity</u>
[] One month	\$ 55.00	\$ 110.00
[] Two months	\$ 200.00	\$ 400.00
[] Three months	\$ 475.00	\$ 950.00
[] Four months	\$ 755.00	\$ 1,510.00

Extension of Time Fee:

\$0

[] Fee regarding Information Disclosure Statement:

[] Fee Under 37 CFR 1.17(p) \$ 240.00

[] Petition Fee Under 37 CFR 1.17(i) \$ 130.00

Total Information Disclosure Statement Fee:

\$0

Other fees (list individually)

(none)

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Total Other Fees:

\$0

TOTAL FEES: \$0

☐ A check for the amount of TOTAL FEES indicated above is attached.

☐ Please charge Deposit Account No. 03-1600 in the amount of \$_____

☒ A check in the amount of \$80.00 is attached.

☐ No fee is required.

☐ Conditional Petition for Extension of Time: An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this transmittal have been considered.

☒ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 06-1300:

☒ Any filing fees under 37 CFR 1.16 including fees for the presentation of extra claims.

☒ Any patent application processing fees under 37 CFR 1.17.

A **duplicate** copy of this sheet is attached for accounting purposes.

Respectfully submitted,

By _____
Steven F. Caserza
Reg. No.29,780

FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP
Four Embarcadero Center, Suite 3400
San Francisco, CA 94111-4187
Telephone: (650) 494-8700